



COPY OF PAPERS  
ORIGINALLY FILED

*Receipt*

LAW OFFICES JC02 Rec'd PCT/PTO 06 MAR 2002

PCT

LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP

600 SOUTH AVENUE WEST

WESTFIELD, NEW JERSEY 07090-1497

(908) 654-5000

FAX: (908) 654-7866

www.ldlkm.com

PATENTS, TRADEMARKS AND COPYRIGHTS

THOMAS M. PALISI  
STEPHEN F. ROTH  
KIMBERLY V. PERRY  
RENÉE M. ROBESON  
LYNNE A. BORCHERS  
MICHAEL J. DOHERTY  
MATTHEW B. DERNIER  
J. KIRKLAND DOUGLASS  
JEANNE P. VALLEBUONA  
EDWARD D. PERGAMENT  
FRANK J. CHESKY III  
WILLIAM SMITH  
TIMOTHY J. LUBECKI\*  
TIMOTHY J. BORTREE  
LANCE Y. LIU

OF COUNSEL  
LAWRENCE I. LERNER  
DANIEL H. BOBIS  
RAYMOND W. AUGUSTINA  
HARVEY L. COHEN

\*NORTH CAROLINA BAR ONLY  
^NEW YORK BAR ONLY  
\*PENNSYLVANIA BAR ONLY

February 21, 2002

Commissioner For Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Re: Our File: SONYJP 3.3-139  
Appln. No. 09/937,120  
Filing Date: December 17, 2001  
Group Art Unit: 2131

RECEIVED

MAY 10 2002

Technology Center 2100

Dear Sir:

We are enclosing herewith copies of the filing receipt and executed Declaration for the above-identified patent application.

Please note that the "*Foreign Applications*" section should read as follows:

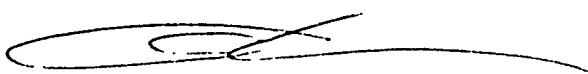
JAPAN 2000-013322 01/21/2000  
JAPAN 2000-015551 01/25/2000  
JAPAN 2000-015858 01/25/2000  
JAPAN 2000-016029 01/25/2000  
JAPAN 2000-016213 01/25/2000  
JAPAN 2000-016251 01/25/2000  
JAPAN 2000-016292 01/25/2000

Also, the correct *Title* should read --Data Processings Apparatus and Data Processing Method--.

Kindly issue a corrected filing receipt for the above-identified application as soon as possible.

Sincerely yours,

LERNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK, LLP

  
ARNOLD H. KRUMHOLZ  
Reg. No. 25,428

AHK:ls  
Enclosures  
353946\_1.DOC



UNITED STATES PATENT AND TRADEMARK OFFICE

COPY OF PAPERS  
ORIGINALLY FILED

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/937,120	12/17/2001	2131	6874	SONY JP - 139	93	178	41

000530  
LERNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK  
600 SOUTH AVENUE WEST  
WESTFIELD, NJ 07090

CONFIRMATION NO. 6143  
FILING RECEIPT

OC000000007351747

Date Mailed: 01/24/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Tomoyuki Asano, Kanagawa, JAPAN;  
Yoshihito Ishibashi, Tokyo, JAPAN;  
Taizo Shirai, Tokyo, JAPAN;  
Toru Akishita, Chiba, JAPAN;

RECEIVED

MAY 10 2002

Technology Center 2100

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP01/00346 01/19/2001

Foreign Applications

JAPAN 2000-013322 01/21/2000  
JAPAN 2000-015551 01/25/2001  
JAPAN 2000-015858 01/25/2001  
JAPAN 2000-016029 01/25/2001  
JAPAN 2000-016213 01/25/2001  
JAPAN 2000-016251 01/25/2001  
JAPAN 2000-016292 01/25/2000

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

Early Publication Request: No

RECEIVED

JAN 20 2002

LDLK&M

COPY OF PAPERS  
ORIGINALLY FILED

**Title**

Data authentication system

**Preliminary Class**

380

---

**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The license is effective as of the date of issuance, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).